



# State of Maine Board of Corrections

State House Station #111  
Augusta, ME 04333-0111



## MEMBERS:

Neale Duffett\*  
Tom Brown  
Richard Hanley  
Anne Head  
George Jabar  
Tim King  
Randall Liberty  
Joseph Ponte  
Stuart Smith  
\* Chair of the Board

## EXECUTIVE DIRECTOR:

Kate Snyder

## EXECUTIVE ASSOCIATES:

Kelene Barrows  
April Fortier

## CORRECTIONS WORKING GROUP:

Commissioner Joseph Ponte\*  
Sheriff Glenn Ross\*  
Cynthia Brand  
Peter Crichton  
Scott Ferguson  
Amy Fowler  
Ralph Nichols  
Mark Westrum  
\*Co-Chairs

## FOCUS GROUPS:

Budget  
Education  
Inventory of Needs  
Information Technology  
Medical/ Pharmacy  
Mental Health/Substance  
Abuse  
Pretrial/Diversion/Reentry  
Transportation  
Victim Services

To: Board Members

From: Kate Snyder, Executive Director

RE: **FY 2012 Budget Approvals, Revenues and Investment Fund Payments, and  
FY 2011 Carry-Forward Surplus Commitments to Support FY 2012  
Initiatives and Operations**

Date: July 15, 2011

This memo summarizes all actions taken by the Board of Corrections related to FY 2012 approved budgets, FY 2012 Investment Fund payments to support approved budgets, and FY 2011 Investment Fund carry-forward surplus to be used to support budgets and initiatives in FY 2012.

### "Total System FY 2012 Revenue" View

The starting point for the FY 2012 budget approvals was an accounting of all revenues that are expected to be received into the system during FY 2012 – in order to be able to quantify and understand the parameters within which budgets would need to respond. FY 2012 revenues are projected to be:

| <u>FY 2012 Cumulative<br/>System Revenues</u>                          |                     |
|--|---------------------|
| <u>Non-Discretionary System<br/>Revenues:</u>                          |                     |
| *CAP (See Note on next page)   | \$61,771,726        |
| CCA  | \$5,646,562         |
| County Generated Revenue   | \$4,005,320         |
| <b>Subtotal:</b>   | <b>\$71,423,608</b> |
| <u>Discretionary System<br/>Revenues:</u>                              |                     |
| Investment Fund Baseline   | \$3,483,678         |
| New General Fund Initiative  | \$3,500,000         |
| Fines/Surcharges   | \$949,259           |
| <b>Subtotal:</b>   | <b>\$7,932,937</b>  |
| <u>Other System Revenue:</u>   |                     |
| Lincoln Payment to TBRJ for<br>FY 2012 Operations                      | \$180,629           |
| Portion of Investment Fund<br>carry-forward surplus to fund<br>FY 2012 | \$287,115           |
| <b>Subtotal:</b>   | <b>\$467,744</b>    |
| <b>System Revenue Grand<br/>Total:</b>                                 | <b>\$79,824,289</b> |

\*Major Mission Change Revenue to the Investment Fund: It is important to note that within the \$61,771,726 of CAP funds collected in FY 2012, a portion of revenues collected from counties with Major Mission Change facilities (Franklin, Oxford and Waldo) in the amount of **\$1,696,362 will be transferred out of the county from which it was collected and deposited into the Board of Corrections Investment Fund; thereby increasing available FY 2012 Investment Fund revenue (discretionary funds) to \$9,629,299.**

### **FY 2012 Budget Approvals**

At the June 15, 2011 and June 29, 2011 board meetings, the board approved all county and regional services budgets resulting in a **total system budget of \$79,824,289** – which is balanced by the previously stated cumulative system revenues.

| <b>Total Approved County and Regional Correctional Services Budgets</b> |                   |
|---|-------------------|
| ANDROSCOGGIN  | 5,569,314         |
| AROOSTOOK   | 3,165,599         |
| CUMBERLAND  | 17,299,759        |
| FRANKLIN  | 1,141,558         |
| HANCOCK   | 2,220,999         |
| KENNEBEC  | 6,640,090         |
| KNOX  | 3,704,529         |
| LINCOLN   | 430,761           |
| OXFORD  | 1,307,072         |
| PENOBSCOT   | 7,405,545         |
| PISCATAQUIS   | 1,478,208         |
| SAGADAHOC   | 428,931           |
| SOMERSET  | 6,624,797         |
| TWO BRIDGES   | 7,265,254         |
| WALDO   | 2,151,148         |
| WASHINGTON  | 2,376,750         |
| YORK  | 10,613,975        |
| <b>Total System Budget:</b>   | <b>79,824,289</b> |

**"BOC Investment Fund FY 2012 Checkbook" View**

| <b>FY 2012 Income to Fund Approved Budgets:</b>                 |                    |
|---|--------------------|
| Investment Fund Baseline  | \$3,483,678        |
| New General Fund Initiative                                     | \$3,500,000        |
| Fines/Surcharge   | \$949,259          |
| Revenue from Major Mission Change Facilities to Investment Fund | \$1,696,362        |
| Portion of Investment Fund carry-forward surplus to fund FY12   | \$287,115          |
| <b>Investment Fund Total:</b>                                   | <b>\$9,916,414</b> |

Investment Fund money required to support the approved FY 2012 county and regional correctional services budgets equals **\$9,916,414**. (Please see Appendix A)

| <b>Investment Fund Payments to Balance FY 2012 Budgets</b> |                    |
|--|--------------------|
| ANDROSCOGGIN   | \$737,017          |
| AROOSTOOK  | \$465,760          |
| CUMBERLAND   | \$2,220,663        |
| FRANKLIN   | \$0                |
| HANCOCK  | \$311,949          |
| KENNEBEC   | \$652,134          |
| KNOX   | \$146,549          |
| LINCOLN  | \$0                |
| OXFORD   | \$0                |
| PENOBSCOT  | \$637,848          |
| PISCATAQUIS  | \$314,563          |
| SAGadahoc  | \$0                |
| SOMERSET   | \$1,121,767        |
| TWO BRIDGES  | \$2,035,672        |
| WALDO  | \$0                |
| WASHINGTON   | \$261,586          |
| YORK   | \$1,010,906        |
| <b>Total:</b>  | <b>\$9,916,414</b> |

### **FY 2012 Investment Fund Disbursement Schedule**

Investment Fund revenue in support of FY 2012 county correctional services will be disbursed as follows:

- July 2011: Disburse 50% (\$4,958,207) of all FY 2012 Investment Fund support of FY 2012 county correctional services budgets;
- January 2012: Disburse 25% (\$2,479,104) of FY 2012 Investment Fund support of FY 2012 county correctional services budgets;
- April 2012: Use CRAS actual and projection data to determine monthly Expenditure and revenue data and strategically and responsively disburse FY 2012 Investment Fund revenue monthly as needed.

|               | Approved FY<br>2012 Budget | Calculated<br>Investment<br>Fund<br>Contribution | July 2011<br>50%<br>Payment | January<br>2012 25%<br>Payment |
|---------------|----------------------------|--|-----------------------------|--------------------------------|
| ANDROSCOGGIN  | \$5,569,314                | \$737,017  | \$368,509                   | \$184,254                      |
| AROOSTOOK     | \$3,165,599                | \$465,760  | \$232,880                   | \$116,440                      |
| CUMBERLAND    | \$17,299,759               | \$2,220,663                                      | \$1,110,332                 | \$555,166                      |
| FRANKLIN      | \$1,141,558                |  |                             |                                |
| HANCOCK       | \$2,220,999                | \$311,949  | \$155,975                   | \$77,987                       |
| KENNEBEC      | \$6,640,090                | \$652,134  | \$326,067                   | \$163,034                      |
| KNOX          | \$3,704,529                | \$146,549  | \$73,275                    | \$36,637                       |
| LINCOLN       | \$430,761                  |  |                             |                                |
| OXFORD        | \$1,307,072                |  |                             |                                |
| PENOBSCOT     | \$7,405,545                | \$637,848  | \$318,924                   | \$159,462                      |
| PISCATAQUIS   | \$1,478,208                | \$314,563  | \$157,282                   | \$78,641                       |
| SAGadahoc     | \$428,931                  |  |                             |                                |
| SOMERSET      | \$6,624,797                | \$1,121,767                                      | \$560,884                   | \$280,442                      |
| TWO BRIDGES   | \$7,265,254                | \$2,035,672                                      | \$1,017,836                 | \$508,918                      |
| WALDO         | \$2,151,148                |  |                             |                                |
| WASHINGTON    | \$2,376,750                | \$261,586  | \$130,793                   | \$65,397                       |
| YORK          | \$10,613,975               | \$1,010,906                                      | \$505,453                   | \$252,727                      |
| <b>Total:</b> | <b>\$79,824,289</b>        | <b>\$9,916,414</b>                               | <b>\$4,958,207</b>          | <b>\$2,479,104</b>             |

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### **Board Initiatives and FY 2011 Investment Fund Carry-Forward Surplus**

The board approved use of anticipated FY 2011 Investment Fund carry-forward surplus to fund several initiatives in FY 2012. Those initiatives are:

- \$794,157 for a System Capital Investment Reserve Account;
- \$300,000 to fund the Maine Addiction Recovery Academy with a campus at Kennebec County Correctional Facility;
- \$200,000 to fund Board of Corrections staff; and
- \$287,115 in support of correctional services at Somerset County Correctional Facility.

Total FY 2011 Investment Fund Carry-Forward Surplus Obligation: **\$1,581,272**

### **Appendix A: (Please see next page)**

All FY 2012 Investment Fund support of FY 2012 approved budgets is a calculation: Approved Budget minus all Projected Revenues.